

|   |                        |               |            |  |
|---|------------------------|---------------|------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |               | 10755996   |  |
|   | Filing Date            |               | 2004-01-13 |  |
|   | First Named Inventor   | Peter Bonutti |            |  |
|   | Art Unit               | 3733          |            |  |
|   | Examiner Name          | M. Hoffman    |            |  |
|   | Attorney Docket Number | 780-A03-021-5 |            |  |

| U.S. PATENTS      |         |               |                        |            |   | Remove   |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|                   | 1       |               |                        |            |   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

| U.S. PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |   | Remove   |
|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial*                    | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|                                      | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

| FOREIGN PATENT DOCUMENTS |         |                                      |                           |                        |                  |   | Remove   |                          |
|--------------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|                          | 1       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button.

Add

| NON-PATENT LITERATURE DOCUMENTS |         | Remove   |                |
|---------------------------------|---------|--|----------------|
| Examiner Initials*              | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |               |
|------------------------|---------------|
| Application Number     | 10755996      |
| Filing Date            | 2004-01-13    |
| First Named Inventor   | Peter Bonutti |
| Art Unit               | 3733          |
| Examiner Name          | M. Hoffman    |
| Attorney Docket Number | 780-A03-021-5 |

|    |  |                          |
|----|--|--------------------------|
| 1  | 022-2 Co-pending S/N 10/438,705, NonFinal Office Action mailed 12-13-2005  | <input type="checkbox"/> |
| 2  | 022-2 Co-pending S/N 10/438,705, Response filed 04-13-2006                 | <input type="checkbox"/> |
| 3  | 022-2 Co-pending S/N 10/438,705, Final Office Action mailed 05-01-2006     | <input type="checkbox"/> |
| 4  | 022-2 Co-pending S/N 10/438,705, Response filed 06-30-2006                 | <input type="checkbox"/> |
| 5  | 02-2 Co-pending S/N 10/438,705, Advisory Action mailed 07-18-2006          | <input type="checkbox"/> |
| 6  | 022-2 Co-pending S/N 10/438,705, Notice of Appeal filed 07-28-2006         | <input type="checkbox"/> |
| 7  | 022-2 Co-pending S/N 10/438,705, Pre-Brief Appeal Notice mailed 08-17-2008 | <input type="checkbox"/> |
| 8  | 022-2 Co-pending S/N 10/438,705, Appeal Brief filed 10-30-2006             | <input type="checkbox"/> |
| 9  | 022-2 Co-pending S/N 10/438,705, Response filed 06-25-2010                 | <input type="checkbox"/> |
| 10 | 022-2 Co-pending S/N 10/438,705, Appeal Brief filed 01-16-2007             | <input type="checkbox"/> |
| 11 | 022-2 Co-pending S/N 10/438,705, Notice of Non-Comply mailed 05-30-2007    | <input type="checkbox"/> |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |               |
|------------------------|---------------|
| Application Number     | 10755996      |
| Filing Date            | 2004-01-13    |
| First Named Inventor   | Peter Bonutti |
| Art Unit               | 3733          |
| Examiner Name          | M. Hoffman    |
| Attorney Docket Number | 780-A03-021-5 |

|    |  |                          |
|----|--|--------------------------|
| 12 | 022-2 Co-pending S/N 10/438,705, Appeal Brief filed 06-27-2007               | <input type="checkbox"/> |
| 13 | 022-2 Co-pending S/N 10/438,705, NonFinal Office Action mailed 10-09-2007    | <input type="checkbox"/> |
| 14 | 022-2 Co-pending S/N 10/438,705, Response filed 01-24-2008                   | <input type="checkbox"/> |
| 15 | 022-2 Co-pending S/N 10/438,705, Final Office Action mailed 05-14-2008       | <input type="checkbox"/> |
| 16 | 022-2 Co-pending S/N 10/438,705, Request for Continued Exam filed 08-14-2008 | <input type="checkbox"/> |
| 17 | 022-2 Co-pending S/N 10/438,705, NonFinal Office Action mailed 09-03-2008    | <input type="checkbox"/> |
| 18 | 022-2 Co-pending S/N 10/438,705, Preliminary Amendment filed 09-03-2008      | <input type="checkbox"/> |
| 19 | 022-2 Co-pending S/N 10/438,705, Response filed 12-03-2008                   | <input type="checkbox"/> |
| 20 | 022-2 Co-pending S/N 10/438,705, Final Office Action mailed 03-17-2009       | <input type="checkbox"/> |
| 21 | 022-2 Co-pending S/N 10/438,705, Request for Continued Exam filed 08-17-2009 | <input type="checkbox"/> |
| 22 | 022-2 Co-pending S/N 10/438,705, NonFinal Office Action mailed 01-25-2010    | <input type="checkbox"/> |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |               |
|------------------------|---------------|
| Application Number     | 10755996      |
| Filing Date            | 2004-01-13    |
| First Named Inventor   | Peter Bonutti |
| Art Unit               | 3733          |
| Examiner Name          | M. Hoffman    |
| Attorney Docket Number | 780-A03-021-5 |

|    |   |                          |
|----|---|--------------------------|
| 23 | 022-2 Co-pending S/N 10/438,705, response filed 6-25-2010             | <input type="checkbox"/> |
| 24 | 022-2 Co-pending S/N 10/438,705, Final Office Action mailed 9-15-2010 | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.